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AF/1754

PATENT

Attorney Docket No. 09792909-5192

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mamoru Hosoya, et al.

Application No. **09/965,273**

Filed: **September 27, 2001**

For: **Method for the Preparation of Cathode
Active Material and Method for the
Preparation of Non-Aqueous
Electrolyte**

) Group Art Unit: **1754**

) Examiner: **Steven J. Bos**

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTENTION: GROUP DIRECTOR on March 30, 2004.

Suzarah K. Maguigad
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
ATTENTION: GROUP DIRECTOR

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AFTER
MAILING DATE OF FINAL ACTION AND
BEFORE PAYMENT OF ISSUE FEE (37 C.F.R. § 1.97(d))**

Dear Sir:

In accordance with the provisions of 37 C.F.R. §1.56, Applicants request that the references identified on the attached PTO/SB/08a form be made of official record in the above-identified application and considered by the Examiner. Copies of the identified references are enclosed herewith in accordance with 37 C.F.R. §1.98.

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I. SUBMITTED US PATENT REFERENCES

<u>Document No.</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
A1	5,910, 382	US	June 8, 1999

II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Document No.</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
B1	WO 01/53198	WIPO	July 26, 2001
B2	JP 09134725	Japan	May 20, 1997
B3	JP 09171827	Japan	June 30, 1997
B4	WO 97/40541	WIPO	October 30, 1997
B5	WO 98/12761	WIPO	March 26, 1998

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

II. EXPLANATION OF RELEVANCE

The references A1 and B3 were first cited in a communication from the European Patent Office dated January 22, 2004, in a counterpart foreign application (European Application No. 01123180, filed September 27, 2001) and references B1-B2 and B4-B5 were first cited in a communication from the European Patent Office dated January 27, 2004, in a

counterpart foreign application (European Application No. 01122752, filed September 21, 2001) not more than three months prior to the filing of this information disclosure statement, pursuant to 37 C.F.R. §1.97(e)(1). Copies of the European Search Reports are submitted herewith.

III. CERTIFICATION UNDER 37 C.F.R. §1.97(e)(1)

Applicants submit that each item of information contained in this information disclosure statement was first cited in communications from the European Patent Office (i.e. European Search Reports) in counterpart foreign applications not more than three months prior to the filing of this information disclosure statement.

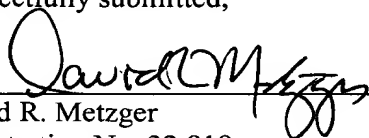
Applicants enclose herewith credit card payment form PTO-2038 in the amount of \$180.00 for the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under §1.97(d)(2).

The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: March 30, 2004

By:


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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/965,273
Filing Date	September 27, 2001
First Named Inventor	Mamoru Hosoya
Group Art Unit	1754
Examiner Name	Steven J. Bos
Attorney Docket Number	09792909-5192

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code ² (if known)			
	A1	US-5,910,382	06/08/1999	John B. Goodenough et al.	
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	B1	WO 01/53198	07/26/2001	Valence Technology Inc.		<input type="checkbox"/>
	B2	JP 09134725	05/20/1997	Nippon Telgr & Teleph Corp.	English language abstract only	<input checked="" type="checkbox"/>
	B3	JP 09171827	06/30/1997	Sony Corp.	English language abstract only	<input checked="" type="checkbox"/>
	B4	WO 97/40541	10/30/1997	Board of Regents The Univ. Texas System		<input type="checkbox"/>
	B5	WO 98/12761	03/26/1998	Valence Technology Inc.		<input type="checkbox"/>

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.